

**NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines™)**

# **Small Cell Lung Cancer**

Version 1.2011

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# NCCN Guidelines™ Version 1.2011 Panel Members

## Small Cell Lung Cancer

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This manuscript is being updated to correspond with the newly updated algorithm.

**Clinical Trials:** The NCCN believes that the best management for any cancer patient is in a clinical trial. Participation in clinical trials is especially encouraged.

To find clinical trials online at NCCN member institutions, [click here: ncn.org/clinical\\_trials/physician.html](#)

**NCCN Categories of Evidence and Consensus:** All recommendations are Category 2A unless otherwise specified.

See [NCCN Categories of Evidence and Consensus](#)

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Updates in Version 1.2011 of the NCCN Small Cell Lung Cancer Guidelines from Version 1.2010 include:

### [SCL-1](#)

- Chest/liver/adrenal CT was clarified by adding “with IV contrast whenever possible.”
- TNM stage groupings added to limited stage and extensive stage.

### [SCL-2](#)

- “Mediastinoscopy or surgical or endoscopic mediastinal staging” changed to “Pathologic mediastinal staging” with a footnote “Mediastinal staging procedures include mediastinoscopy, mediastinotomy, endobronchial or esophageal ultrasound-guided biopsy, and video-assisted thoracoscopy.” (also applies to [SCL-3](#))

### [SCL-5](#)

- Chest/liver/adrenal CT was clarified by adding “with IV contrast whenever possible.”
- Qualifier “after 2 y follow-up” removed from “New pulmonary nodule.”

### [SCL-6](#)

- “Continue until maximal benefit or refractory to therapy or development of unacceptable toxicity” changed to “Continue until *two cycles beyond best response or progression of disease* or development of unacceptable toxicity.”

### [SCL-B 1 of 2](#)

- The recommended cycles were changed from 4 cycles to a “maximum of 4-6 cycles.”
- The chemotherapy regimen of cyclophosphamide/doxorubicin/vincristine (CAV) was removed as an option in primary therapy for extensive disease.
- Topotecan was clarified as PO or IV.

### [SCL-C 1 of 2](#)

- Radiotherapy for limited disease:

Bullet 1 - the following sentence was added: “If bid fractionation is utilized, there should be at least a 6 hour inter-fraction interval to allow for repair of normal tissue.”

Bullet 3 - Radiation target volumes should be defined on the CT scan obtained at the time of radiotherapy planning, *following ICRU definitions (Reports 50 and 62). Radiation doses should be calculated with inhomogeneity corrections.*

Bullet 4 - Three-dimensional conformal radiation techniques or IMRT are preferred (category 1). *If IMRT is utilized, four-dimensional imaging should also be performed to assure tumor movement of less than 1 cm is achievable.*

- New information was added for Normal Tissue Constraints and Prophylactic Cranial Radiotherapy.

### [SCL-C 2 of 2](#)

- References 6, and 8-11 are new to the page.

### [LNT-1](#)

- A new footnote, “Wedge resection for peripheral low-grade neuroendocrine carcinoma (category 2B)” was added to “Lobectomy or other anatomic resection.”

### [ST-1](#)

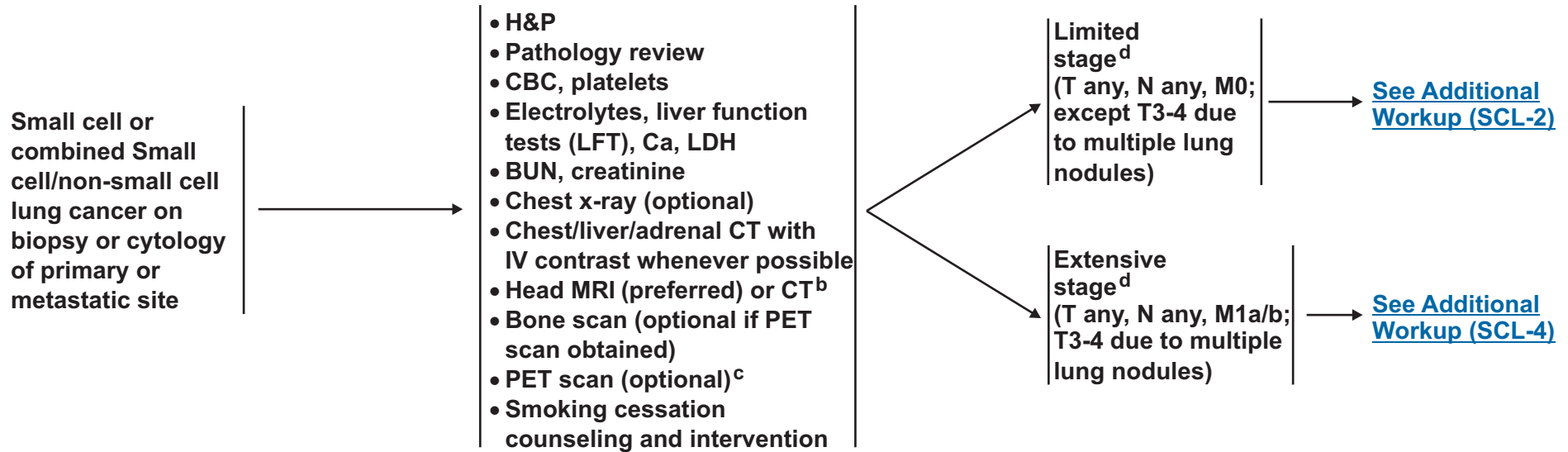
- Staging tables updated to reflect the 7th Edition of the AJCC Staging Manual.



### DIAGNOSIS

### INITIAL EVALUATION<sup>a</sup>

### STAGE



<sup>a</sup>If extensive stage is established, further testing for staging is optional.

<sup>b</sup>Head MRI is more sensitive than CT for identifying brain metastases and is preferred over CT.

<sup>c</sup>PET scan can be used as part of the initial evaluation, in addition to the other recommended studies.

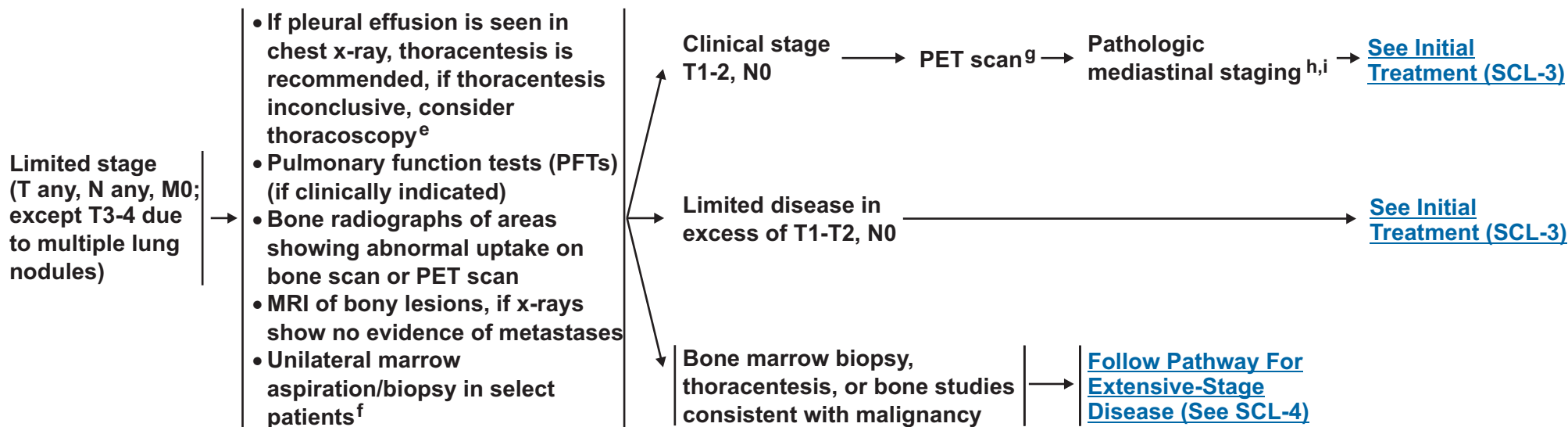
<sup>d</sup>[See Staging on page ST-1.](#)

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**STAGE**                      **ADDITIONAL WORKUP**



<sup>e</sup>Most pleural effusions in patients with lung cancer are due to cancer; however, if the effusion is too small to allow image-guided sampling, then the effusion should not be considered in staging. If 3 cytological examinations of pleural fluid are negative for cancer, fluid is not bloody and not an exudate and clinical judgment suggests that the effusion is not directly related to the cancer, then the effusion should not be considered evidence of extensive stage disease.

<sup>f</sup>Selection criteria include: nucleated RBCs on peripheral blood smear, neutropenia, or thrombocytopenia.

<sup>g</sup>PET scan to identify distant disease and to guide mediastinal evaluation, if not previously done.

<sup>h</sup>[See Principles of Surgical Resection \(SCL-A\)](#).

<sup>i</sup>Mediastinal staging procedures include mediastinoscopy, mediastinotomy, endobronchial or esophageal ultrasound-guided biopsy, and video-assisted thoracoscopy. If endoscopic lymph node biopsy is positive, additional mediastinal staging is not required.

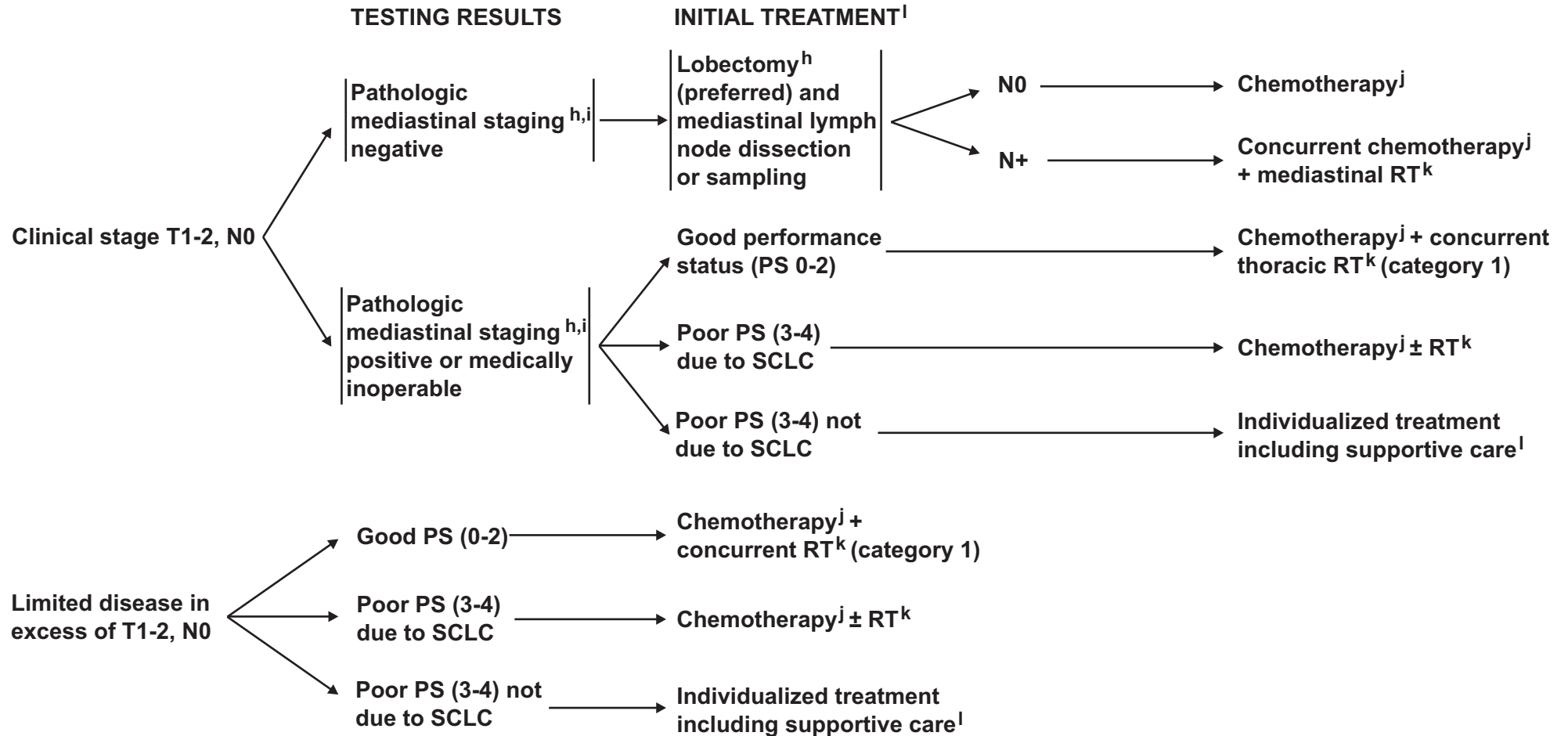
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## Small Cell Lung Cancer



<sup>h</sup>See Principles of Surgical Resection (SCL-A).

<sup>i</sup>Mediastinal staging procedures include mediastinoscopy, mediastinotomy, endobronchial or esophageal ultrasound-guided biopsy, and video-assisted thoracoscopy. If endoscopic lymph node biopsy is positive, additional mediastinal staging is not required.

<sup>j</sup>See Principles of Chemotherapy (SCL-B).

<sup>k</sup>See Principles of Radiation Therapy (SCL-C).

<sup>l</sup>See Principles of Supportive Care (SCL-D).

[See Response Assessment + Adjuvant Treatment \(SCL-5\)](#)

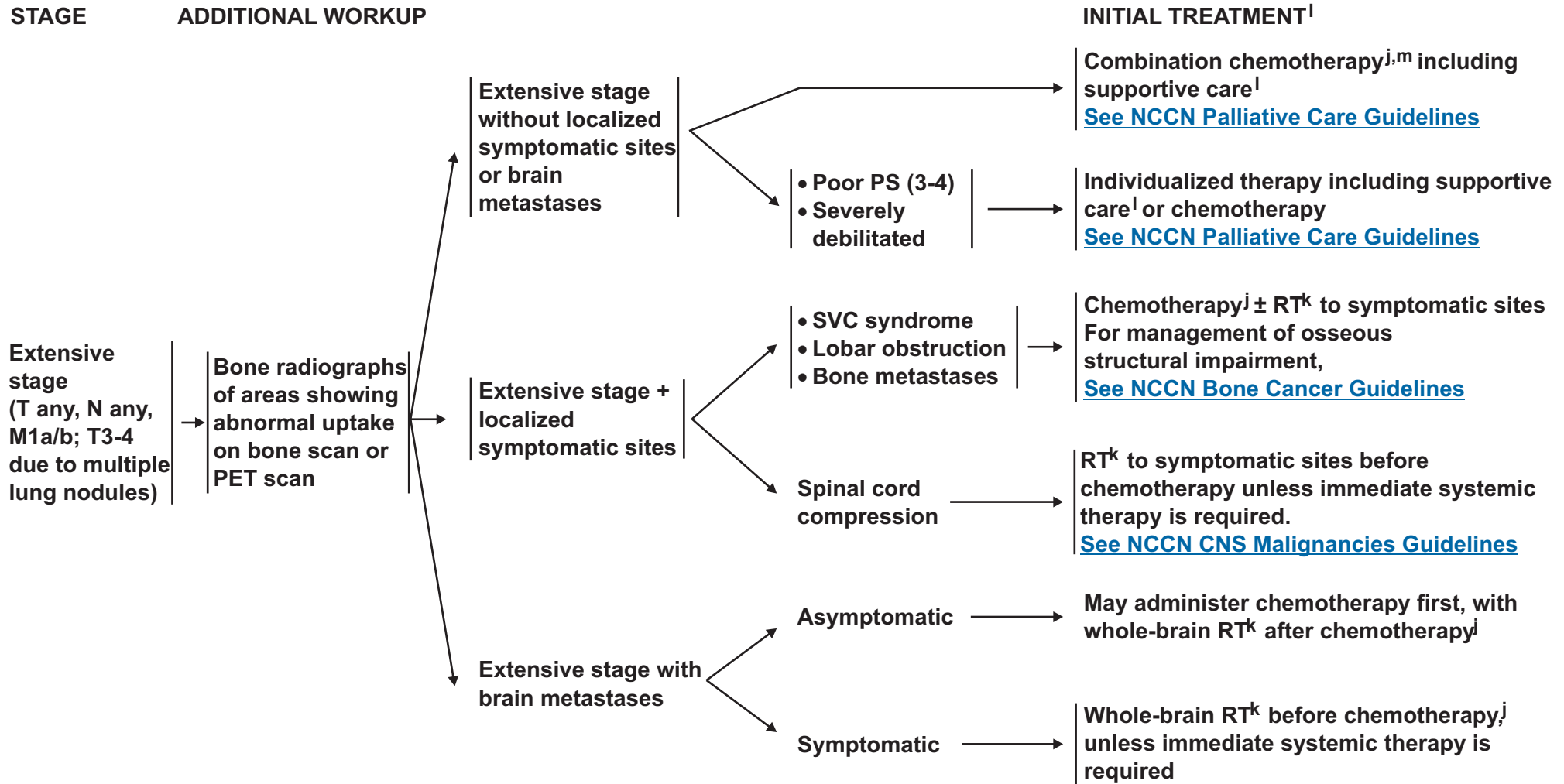
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## Small Cell Lung Cancer



<sup>j</sup>See Principles of Chemotherapy (SCL-B).

<sup>k</sup>See Principles of Radiation Therapy (SCL-C).

<sup>l</sup>See Principles of Supportive Care (SCL-D).

<sup>m</sup>Sequential radiotherapy to thorax in selected patients with low-bulk metastatic disease and CR or near CR after systemic therapy.

[See Response  
Assessment + Adjuvant  
Treatment \(SCL-5\)](#)

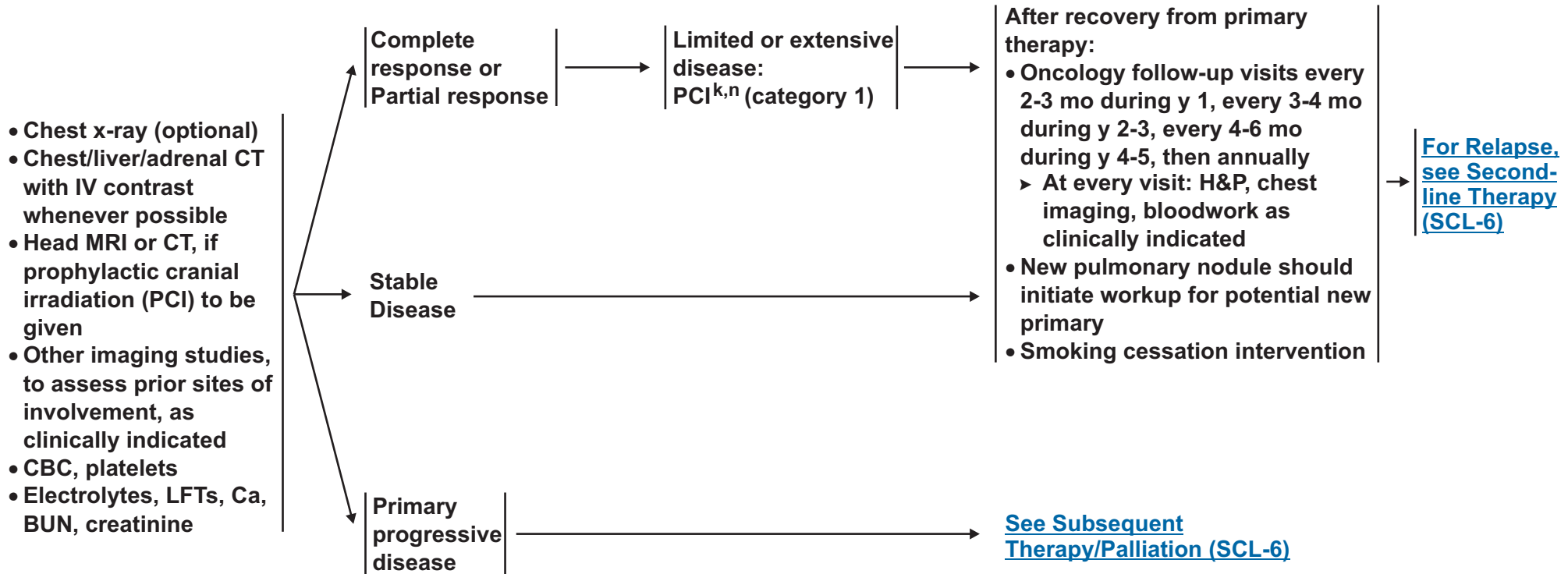
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**RESPONSE ASSESSMENT FOLLOWING INITIAL THERAPY    ADJUVANT TREATMENT    SURVEILLANCE**



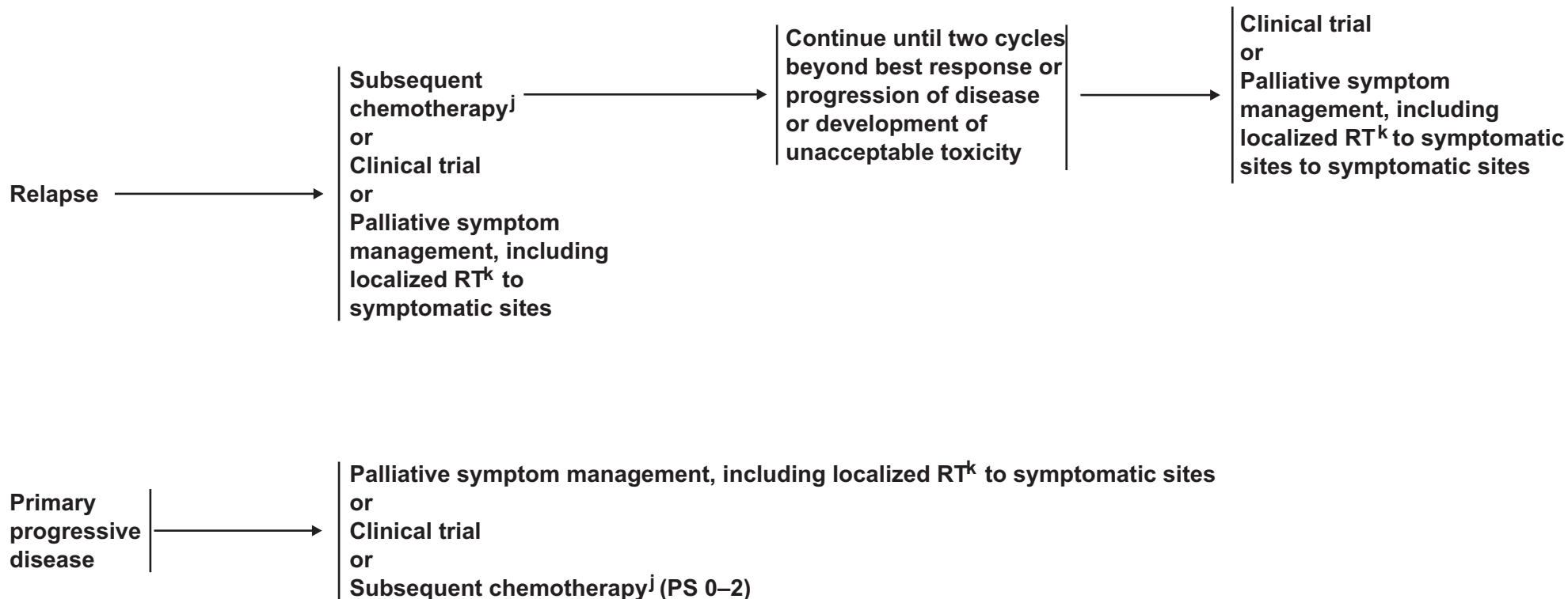
<sup>k</sup>[See Principles of Radiation Therapy \(SCL-C\).](#)

<sup>n</sup>Not recommended in patients with poor performance status or impaired mental function.

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**PROGRESSIVE DISEASE      SUBSEQUENT THERAPY/PALLIATION**



<sup>k</sup>[See Principles of Radiation Therapy \(SCL-C\).](#)

<sup>j</sup>[See Principles of Chemotherapy \(SCL-B\).](#)

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### PRINCIPLES OF SURGICAL RESECTION

- **Stage I SCLC is diagnosed in less than 5% of patients with SCLC.**
- **Patients with clinically staged disease in excess of T1-2, N0 do not benefit from surgery.<sup>1</sup>**
- **Patients with SCLC that is clinical stage I (T1-2, N0) after standard staging evaluation (including CT of the chest and upper abdomen, bone scan, brain imaging, and PET imaging) may be considered for surgical resection.**
  - ▶ **Prior to resection, all patients should undergo mediastinoscopy or other surgical mediastinal staging to rule out occult nodal disease. This may also include an endoscopic staging procedure.**
  - ▶ **Patients who undergo complete resection (preferably by a lobectomy with either mediastinal nodal dissection or sampling) should be treated with postoperative chemotherapy. Patients without nodal metastases should be treated with chemotherapy alone. Patients with nodal metastases should be treated with postoperative concurrent chemotherapy and mediastinal radiation therapy.**
- **Because prophylactic cranial irradiation (PCI) can improve both disease-free and overall survival in patients with SCLC in complete remission, PCI should be considered after adjuvant chemotherapy in patients who have undergone a complete resection.<sup>2</sup>**

<sup>1</sup>Lad T, Piantadosi S, Thomas P, et al. A prospective randomized trial to determine the benefit of surgical resection of residual disease following response of small cell lung cancer to combination chemotherapy. *Chest* 1994;106:320S-3S.

<sup>2</sup>Auperin A, Arriagada R, Pignon JP, et al. Prophylactic cranial irradiation for patients with small-cell cancer in complete remission. Prophylactic Cranial Irradiation Overview Collaborative Group. *N Engl J Med* 1999;341:476-84.

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### PRINCIPLES OF CHEMOTHERAPY\*

#### Chemotherapy as primary therapy:

- **Limited stage (maximum of 4-6 cycles):**
  - ▶ Cisplatin 60 mg/m<sup>2</sup> day 1 and etoposide 120 mg/m<sup>2</sup> days 1, 2, 3<sup>1</sup>
  - ▶ Cisplatin 80 mg/m<sup>2</sup> day 1 and etoposide 100 mg/m<sup>2</sup> days 1, 2, 3<sup>2</sup>
  - ▶ Carboplatin AUC 5-6 day 1 and etoposide 100 mg/m<sup>2</sup> days 1, 2, 3<sup>3</sup>
  - ▶ During chemotherapy + RT, cisplatin/etoposide is recommended (category 1)
- **Extensive stage (maximum of 4-6 cycles):**
  - ▶ Cisplatin 75 mg/m<sup>2</sup> day 1 and etoposide 100 mg/m<sup>2</sup> days 1, 2, 3<sup>3</sup>
  - ▶ Cisplatin 80 mg/m<sup>2</sup> day 1 and etoposide 80 mg/m<sup>2</sup> days 1, 2, 3<sup>4</sup>
  - ▶ Cisplatin 25 mg/m<sup>2</sup> days 1, 2, 3 and etoposide 100 mg/m<sup>2</sup> days 1, 2, 3<sup>5</sup>
  - ▶ Carboplatin AUC 5-6 day 1 and etoposide 100 mg/m<sup>2</sup> days 1, 2, 3<sup>6</sup>
  - ▶ Cisplatin 60 mg/m<sup>2</sup> on day 1 and irinotecan 60 mg/m<sup>2</sup> on days 1, 8, 15<sup>7</sup>
  - ▶ Cisplatin 30 mg/m<sup>2</sup> and irinotecan 65 mg/m<sup>2</sup> on days 1, 8 every 21 days<sup>8</sup>
  - ▶ Carboplatin AUC 5 day 1 and Irinotecan 50 mg/m<sup>2</sup> on days 1, 8, and 15<sup>9</sup>

#### Subsequent chemotherapy:

- Clinical trial preferred.
- Relapse < 2-3 mo, PS 0-2: ifosfamide, paclitaxel, docetaxel, gemcitabine, irinotecan, topotecan.
- Relapse > 2-3 mo up to 6 mo: topotecan PO or IV, (category 1), irinotecan, paclitaxel, docetaxel, oral etoposide, vinorelbine, gemcitabine, cyclophosphamide/doxorubicin/vincristine (CAV).
- Relapse > 6 mo: original regimen.

Consider dose reductions versus growth factors in the poor performance status patient

[See References on SCL-B 2 of 2](#)

\*The regimens included are representative of the more commonly used regimens for Small Cell Lung Cancer. Other regimens available are acceptable.

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## PRINCIPLES OF CHEMOTHERAPY

### References

- <sup>1</sup>Turrisi AT 3rd, Kim K, Blum R, et al. Twice-daily compared with once-daily thoracic radiotherapy in limited small-cell lung cancer treated concurrently with cisplatin and etoposide. *N Engl J Med* 1999;340(4):265-271.
- <sup>2</sup>Saito H, Takada Y, Ichinose Y, et al. Phase II study of etoposide and cisplatin with concurrent twice-daily thoracic radiotherapy followed by irinotecan and cisplatin in patients with limited-disease small-cell lung cancer: West Japan Thoracic Oncology Group 9902. *J Clin Oncol* 2006;24(33): 5247-5252.
- <sup>3</sup>Sundstrom S, Bremnes RM, Kaasa S, et al. Cisplatin and etoposide regimen is superior to cyclophosphamide, epirubicin, and vincristine regimen in small-cell lung cancer: results from a randomized phase III trial with 5 years follow-up. *J Clin Oncol* 2002;20(24):4665-4672.
- <sup>4</sup>Ihde DC, Mulshine JL, Kramer BS, et al. Prospective randomized comparison of high-dose and standard-dose etoposide and cisplatin chemotherapy in patients with extensive-stage small-cell lung cancer. *J Clin Oncol* 1994;12(10):2022-2034.
- <sup>5</sup>Evans WK, Shepherd FA, Feld R, et al. VP-16 and cisplatin as first-line therapy for small-cell lung cancer. *J Clin Oncol* 1985;3(11):1471-1477.
- <sup>6</sup>Skarlos DV, Samantas E, Briassoulis E, et al. Randomized comparison of early versus late hyperfractionated thoracic irradiation concurrently with chemotherapy in limited disease small-cell lung cancer: a randomized phase II study of the Hellenic Cooperative Oncology Group (HeCOG). *Ann Oncol* 2001;12(9):1231-1238.
- <sup>7</sup>Noda K, Nishiwaki Y, Kawahara M, et al. Irinotecan plus cisplatin compared with etoposide plus cisplatin for extensive small-cell lung cancer. *N Engl J Med* 2002;346(2): 85-91.
- <sup>8</sup>Hanna N, Bunn Jr. PA, Langer C, et al. Randomized phase III trial comparing irinotecan/cisplatin with etoposide/cisplatin in patients with previously untreated extensive-stage disease small-cell lung cancer. *J Clin Oncol* 2006;24(13):2038-2043.
- <sup>9</sup>Schmittl A, Fischer von Weikersthal L, Sebastian M, et al. A randomized phase II trial of irinotecan plus carboplatin versus etoposide plus carboplatin treatment in patients with extended disease small-cell lung cancer. *Ann Oncol* 2006;17:663-667.

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## PRINCIPLES OF RADIATION THERAPY

### Radiotherapy for limited disease:

- Radiotherapy should be delivered as either 1.5 Gy bid (twice daily) to a total dose of 45 Gy (category 1), or 2 Gy once daily to 60-70 Gy.<sup>1-6</sup> If bid fractionation is utilized, there should be at least a 6 hour inter-fraction interval to allow for repair of normal tissue.
- Radiotherapy should start concurrent with chemotherapy, cycle 1 or 2. (Category 1)
- Radiation target volumes should be defined on the CT scan obtained at the time of radiotherapy planning, following ICRU definitions (Reports 50 and 62).<sup>7-9</sup> Radiation doses should be calculated with inhomogeneity corrections.
- Three-dimensional conformal radiation techniques or IMRT are preferred (category 1). If IMRT is utilized, four-dimensional imaging should also be performed to assure tumor movement of less than 1 cm is achievable.

### Normal Tissue Constraints:<sup>10-11</sup>

- Normal tissue doses will be dependent on tumor size and location. The following normal tissue constraints from CALGB 30610/ RTOG 0538 protocol should be used as a guide:
  - ▶ If BID accelerated hyperfractionation (i.e. 45 Gy/ 30 twice daily treatments) irradiation schema is utilized, the maximum spinal cord dose should be limited to  $\leq 41$  Gy (including scatter irradiation). If standard dose irradiation is utilized the maximum spinal cord dose should be limited to  $\leq 50$  Gy (including scatter irradiation).
  - ▶ The volume of both lungs (total lungs minus the clinical target volume) that receives  $> 20$  Gy ( $V_{20}$ ) should be  $< 40\%$ . Alternatively the mean dose to the total lung volume should be  $\leq 20$  Gy.
  - ▶ Mean dose to the esophagus should be  $< 34$  Gy.
  - ▶ Heart: 60 Gy to  $< 1/3$ , 45 Gy to  $< 2/3$ , 40 Gy to  $< 100\%$ .

### Prophylactic Cranial Radiotherapy:

- Parallel opposed fields should be utilized to encompass the whole brain. The field edges should be at least 1 cm from the outer skull margin. The recommended dose is 25 Gy in 10 fractions or 30 Gy in 15 fractions.<sup>12-13</sup>

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## PRINCIPLES OF RADIATION THERAPY

### References

- <sup>1</sup>Turisi AT 3rd, Kim K, Blum R, et al. Twice daily compared with once-daily thoracic radiotherapy in limited small-cell lung cancer treated concurrently with cisplatin and etoposide. *N Engl J Med* 1999;340(4):265-271.
- <sup>2</sup>Schild SE, Bonner JA, Shanahan TG, et al. Long-term results of a phase III trial comparing once-daily radiotherapy with twice-daily radiotherapy in limited-stage small-cell lung cancer. *Int J Radiat Oncol Biol Phys* 2004;59(4):943-951.
- <sup>3</sup>Miller KL, Marks LB, Sibley GS, et al. Routine use of approximately 60 Gy once-daily thoracic irradiation for patients with limited-stage small-cell lung cancer. *Int J Radiat Oncol Biol Phys* 2003;56(2):355-359.
- <sup>4</sup>Roof KS, Fidias P, Lymch TJ, et al. Radiation dose escalation in limited-stage small cell lung cancer. *Int J Radiat Oncol Biol Phys* 2003;57(3):701-8.
- <sup>5</sup>Bogart JA, Herndon JE, Lyss AP, et al. 70 Gy thoracic radiotherapy is feasible concurrent with chemotherapy for limited-stage small cell lung cancer: analysis of Cancer and Leukemia Group B study 39808. *Int J Radiat Oncol Biol Phys* 2004;59(2):460-468.
- <sup>6</sup>Yuen AR, Zou G, Turrisi AT, et al. Similar Outcome of elderly patients in Intergroup Trial 0096: Cisplatin, etoposide, and thoracic radiotherapy administered once or twice daily in limited stage small cell lung carcinoma. *Cancer* 2000;89(9):1953-1960.
- <sup>7</sup>Liengswangwong V, Bonner JA, Shaw EG, et al. Limited-stage small cell lung cancer: patterns of intrathoracic recurrence and the implications for thoracic radiotherapy. *J Clin Oncol* 1994;12(3):496-502.
- <sup>8</sup>The International Commission on Radiation Units and Measurement (ICRU) Report #50: Prescribing, Recording, and Reporting Photon Beam Therapy: Library of Congress Cataloging-in-Publication Data. 1993.
- <sup>9</sup>The International Commission on Radiation Units and Measurements Prescribing, Recording and Reporting Photon Beam Therapy: Supplement to ICRU Report 50, Library of Congress Cataloging-in-Publication Data. 1999.
- <sup>10</sup>Kim TH, Cho KH, Pyo HR, et al. Dose-volumetric parameters for predicting severe radiation pneumonitis after three-dimensional conformal radiation therapy for lung cancer. *Radiology* 2005;235:208-215.
- <sup>11</sup>Rose J, Rodrigues G, Yaremko B, et al. Systematic review of dose-volume parameters in the prediction of esophagitis in thoracic radiotherapy. *Radiother. Oncol* 2009;91:dd282-7.
- <sup>12</sup>Le Péchoux C, Dunant A, Senan S, et al. Standard-dose versus higher-dose prophylactic cranial irradiation (PCI) in patients with limited-stage small-cell lung cancer in complete remission after chemotherapy and thoracic radiotherapy. *Lancet Oncol* 2009;10(5):467-474.
- <sup>13</sup>Slotman B, Faivre-Finn C, Kramer G, et al. Prophylactic cranial irradiation in extensive small-cell lung cancer. *N Engl J Med* 2007;357:664-672.

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### PRINCIPLES OF SUPPORTIVE CARE

- **Smoking cessation counseling**
  
- **Granulocyte colony-stimulating factor (GCSF) or granulocyte-macrophage colony-stimulating factor (GM-CSF) during RT is not recommended (category 1 for GM-CSF).**  
See the [NCCN Myeloid Growth Factor Guidelines](#)
  
- **Syndrome of inappropriate antidiuretic hormone**
  - ▶ Fluid restriction
  - ▶ Saline infusion for symptomatic patients
  - ▶ Demeclocycline
  - ▶ Antineoplastic therapy
  
- **Cushing's syndrome**
  - ▶ Consider ketoconazole
  - ▶ Try to control before initiation of antineoplastic therapy
  
- **Leptomeningeal disease**  
[See NCCN Carcinomatous/Lymphomatous Meningitis Guidelines](#)
  
- **Pain Management:** [See NCCN Adult Cancer Pain Guidelines](#)
  
- **Nausea/Vomiting:** [See NCCN Antiemesis Guidelines](#)
  
- **Psychosocial distress:** [See NCCN Distress Management Guidelines](#)
  
- [See NCCN Palliative Care Guidelines](#) as indicated

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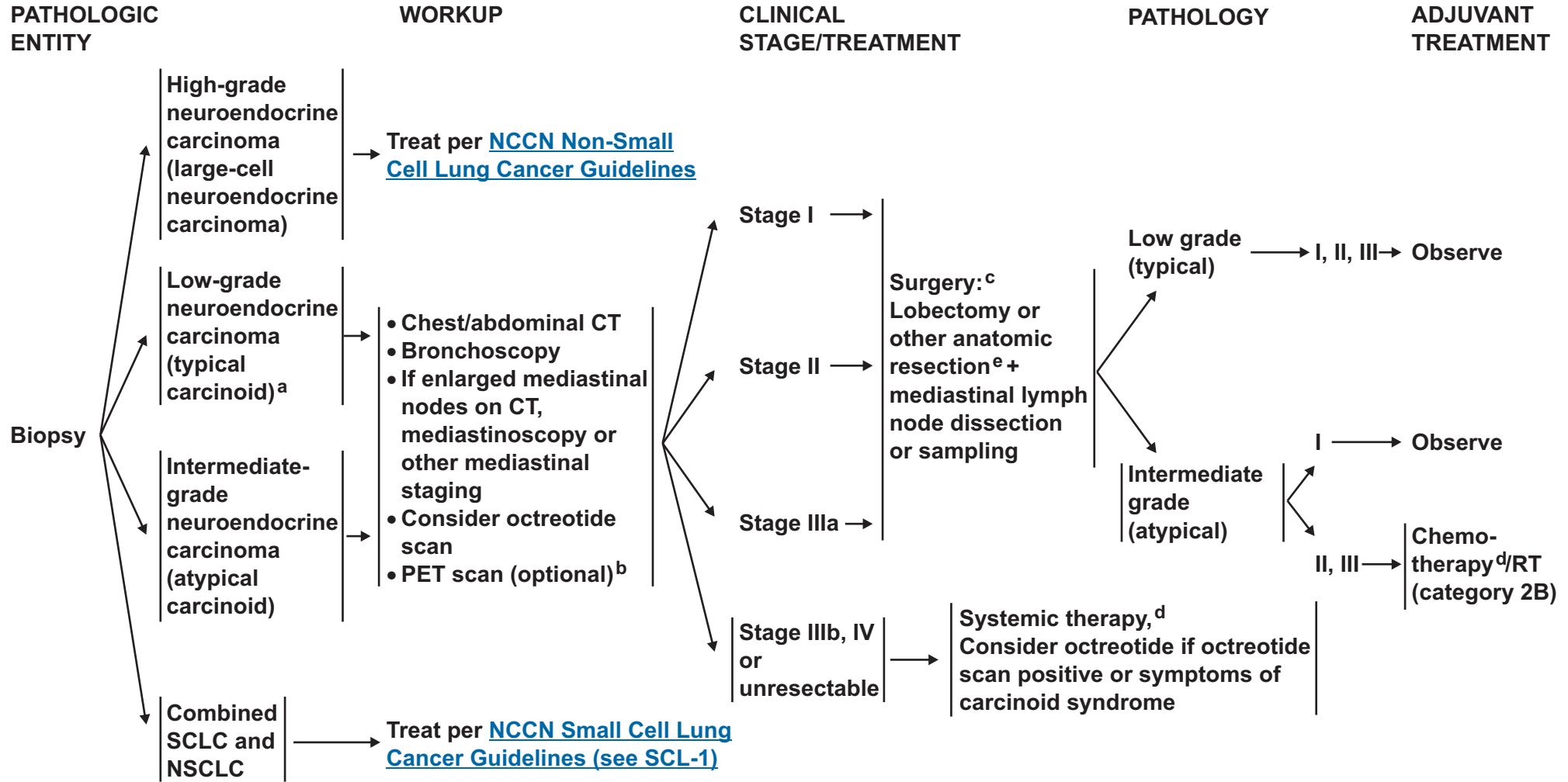
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# NCCN Guidelines™ Version 1.2011

## Lung Neuroendocrine Tumors



<sup>a</sup>Management of endocrine symptoms as indicated (See the Carcinoid Tumors section in the [NCCN Neuroendocrine Tumors Guidelines](#))  
<sup>b</sup>PET scan is undergoing evaluation in clinical trials and should only be considered as a supplement and not a replacement to other studies.  
<sup>c</sup>For Stage III, typical: RT recommended if surgery is not feasible.  
 For Stage III, atypical: Chemotherapy/RT is recommended if surgery is not feasible.  
<sup>d</sup>There is no substantial evidence for a commonly used regimen. Cisplatin/etoposide is a regimen commonly used at NCCN institutions.  
<sup>e</sup>Wedge resection for peripheral low-grade neuroendocrine carcinoma (category 2B).

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**Table 1 - Definition of small cell lung cancer consists of two stages:**

- (1) Limited-stage disease: disease confined to the ipsilateral hemithorax, which can be safely encompassed within a tolerable radiation field.  
 (2) Extensive-stage disease: disease beyond ipsilateral hemithorax which may include malignant pleural or pericardial effusion or hematogenous metastases.

**Table 2 - Definitions of TNM**

**T Primary Tumor**

- TX Primary tumor cannot be assessed, or tumor proven by the presence of malignant cells in sputum or bronchial washings but not visualized by imaging or bronchoscopy
- T0 No evidence of primary tumor
- Tis Carcinoma in situ
- T1 Tumor 3 cm or less in greatest dimension, surrounded by lung or visceral pleura, without bronchoscopic evidence of invasion more proximal than the lobar bronchus (i.e., not in the main bronchus)\*  
 T1a Tumor 2 cm or less in greatest dimension  
 T1b Tumor more than 2 cm but 3 cm or less in greatest dimension
- T2 Tumor with any of the following features of size or extent:
- More than 3 cm but 7 cm or less
  - Involves main bronchus, 2 cm or more distal to the carina
  - Invades the visceral pleura (PL1 or PL2)
  - Associated with atelectasis or obstructive pneumonitis that extends to the hilar region but does not involve the entire lung
- T2a Tumor more than 3 cm but 5 cm or less in greatest dimension  
 T2b Tumor more than 5 cm but 7 cm or less in greatest dimension
- T3 Tumor more than 7 cm or one that directly invades any of the following: parietal pleural (PL3) chest wall (including superior sulcus tumors), diaphragm, phrenic nerve, mediastinal pleura, parietal pericardium; or tumor in the main bronchus (less than 2 cm distal to the carina\* but without involvement of the carina; or associated atelectasis or obstructive pneumonitis of the entire lung or separate tumor nodule(s) in the same lobe
- T4 Tumor of any size that invades any of the following: mediastinum, heart, great vessels, trachea, recurrent laryngeal nerve, esophagus, vertebral body, carina, separate tumor nodule(s) in a different ipsilateral lobe

**N Regional Lymph Nodes**

- NX Regional lymph nodes cannot be assessed
- N0 No regional lymph node metastasis
- N1 Metastasis to ipsilateral peribronchial and/or ipsilateral hilar lymph nodes, and intrapulmonary nodes including involvement by direct extension
- N2 Metastasis in ipsilateral mediastinal and/or subcarinal lymph node(s)
- N3 Metastasis in contralateral mediastinal, contralateral hilar, ipsilateral or contralateral scalene, or supraclavicular lymph node(s)

**M Distant Metastasis**

- M0 No distant metastasis
- M1 Distant metastasis
- M1a Separate tumor nodule(s) in a contralateral lobe tumor with pleural nodules or malignant pleural (or pericardial) effusion\*\*
- M1b Distant metastasis

\*The uncommon superficial spreading tumor of any size with its invasive component limited to the bronchial wall, which may extend proximally to the main bronchus, is also classified as T1a.

\*\*Most pleural (and pericardial) effusions with lung cancer are due to tumor. In a few patients, however, multiple cytopathologic examinations of pleura (pericardial) fluid are negative for tumor, and the fluid is nonbloody and is not an exudate. Where these elements and clinical judgment dictate that the effusion is not related to the tumor, the effusion should be excluded as a staging element and the patient should be classified as M0.

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**Table 3 - Anatomic Stage/Prognostic Groups**

Occult carcinoma	TX	N0	M0
Stage 0	Tis	N0	M0
Stage IA	T1	N0	M0
Stage IB	T2a	N0	M0
Stage IIA	T2b T1 T2a	N0 N1 N1	M0 M0 M0
Stage IIB	T2b T3	N1 N0	M0 M0
Stage IIIA	T1-2 T3 T4	N2 N1-2 N0-1	M0 M0 M0
Stage IIIB	T1-2 T3 T4	N3 N3 N2-3	M0 M0 M0
Stage IV	Any T Any T	Any N Any N	M1a M1b

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## Discussion

This discussion is being updated to correspond with the newly updated algorithm. Last updated 11/03/09

### NCCN Categories of Evidence and Consensus

**Category 1:** The recommendation is based on high-level evidence (e.g. randomized controlled trials) and there is uniform NCCN consensus.

**Category 2A:** The recommendation is based on lower-level evidence and there is uniform NCCN consensus.

**Category 2B:** The recommendation is based on lower-level evidence and there is nonuniform NCCN consensus (but no major disagreement).

**Category 3:** The recommendation is based on any level of evidence but reflects major disagreement.

**All recommendations are category 2A unless otherwise noted.**

### Overview

Small cell lung cancer (SCLC) accounts for 16% of all lung cancers. In 2009, approximately 35,110 new cases of SCLC will be diagnosed in the United States.<sup>1</sup> Nearly all cases of SCLC are attributable to cigarette smoking. When compared with non-small cell lung cancer, SCLC generally has a more rapid doubling time, a higher growth fraction, and earlier development of widespread metastases. Most patients with SCLC present with hematogenous metastases, while only about one third of patients present with limited disease confined to the chest. SCLC is highly sensitive to initial chemotherapy and radiotherapy; however, most patients eventually die from recurrent disease.<sup>2,3</sup> In patients with limited-stage SCLC, the goal of treatment with chemotherapy plus thoracic radiotherapy is to achieve a cure. In

patients with extensive-stage disease, chemotherapy alone can palliate symptoms and prolong survival in most patients, but long-term survival is rare.<sup>4</sup> Surgery is appropriate for the few patients (2%-5%) with surgically resectable stage I SCLC.

Smoking cessation should be strongly encouraged (1-800-QUIT NOW—the national access number to State-based quitline services) (<http://www.smokefree.gov/>). Patients who smoke have increased toxicity during treatment and shorter survival.<sup>5</sup> Programs using behavioral counseling combined with Food and Drug Administration (FDA)-approved medications that promote smoking cessation can be very useful (<http://www.surgeongeneral.gov/tobacco/index.html>).

### Pathology

SCLC is a malignant epithelial tumor consisting of small cells with scant cytoplasm, ill-defined cell borders, finely granular nuclear chromatin, and absent or inconspicuous nucleoli.<sup>6</sup> The cells are round, oval, or spindle shaped, and nuclear molding is prominent. The mitotic count is high. Up to 30% of autopsies in patients with SCLC reveal areas of non-small cell carcinoma differentiation, which are less commonly detected in specimens from previously untreated patients. This finding has led to the proposal that pulmonary carcinogenesis occurs in a pluripotent stem cell capable of differentiation along several pathways.

Although 95% of small cell carcinomas originate in the lung, they can also arise from extrapulmonary sites, including the nasopharynx, gastrointestinal tract, and genitourinary tract.<sup>7-9</sup> Both pulmonary and extrapulmonary small cell carcinomas have a similar clinical and biologic behavior, leading to a high potential for widespread metastases. However, unlike SCLC, malignant cells from patients with extrapulmonary small cell carcinoma do not exhibit macromolecular 3p deletions, which suggests a different pathogenesis.<sup>10</sup>

Nearly all SCLCs are immunoreactive for keratin, epithelial membrane antigen, and thyroid transcription factor 1 (TTF1). Most SCLCs also stain positively for markers of neuroendocrine differentiation, including chromogranin A, neuron-specific enolase, neural cell adhesion molecule (NCAM; CD56), and synaptophysin. However, these markers alone cannot be used to distinguish SCLC from non-small cell lung cancer, because approximately 10% of non-small cell lung cancers will be immunoreactive for at least one of these neuroendocrine markers.<sup>11</sup>

### Clinical Manifestations, Staging, and Prognostic Factors

SCLC typically presents as a large hilar mass and bulky mediastinal lymphadenopathy that cause cough and dyspnea. Frequently, patients present with symptoms of widespread metastatic disease, such as weight loss, debility, bone pain, and neurologic compromise. Presentation as a solitary peripheral nodule without central adenopathy is uncommon, and, in this situation, fine-needle aspiration may not adequately differentiate small cell carcinoma from low-grade (typical carcinoid), intermediate-grade (atypical carcinoid), or high-grade (large-cell) neuroendocrine carcinoma.

Many neurologic and endocrine paraneoplastic syndromes are associated with SCLC. Neurologic syndromes include Lambert-Eaton myasthenic syndrome, encephalomyelitis, and sensory neuropathy. The Lambert-Eaton syndrome presents with proximal leg weakness and is caused by antibodies directed against the voltage-gated calcium channels.<sup>12</sup> Paraneoplastic encephalomyelitis and sensory neuropathy are caused by the production of an antibody (anti-*Hu*) that cross-reacts with both small cell carcinoma antigens and human neuronal RNA-binding proteins resulting in multiple neurologic deficits.<sup>13,14</sup> SCLC cells also can produce numerous polypeptide hormones, including

adrenocorticotrophic hormone (ACTH) and vasopressin (ADH), which cause Cushing's syndrome and hyponatremia of malignancy, respectively.<sup>15,16</sup>

The Veteran's Administration Lung Group 2-stage classification scheme is routinely used to define the extent of disease in patients with SCLC as shown in Table 1: (1) *limited-stage disease* is defined as disease confined to the ipsilateral hemithorax, which can be safely encompassed within a tolerable radiation field; and (2) *extensive-stage disease* is defined as disease beyond the ipsilateral hemithorax, including malignant pleural or pericardial effusion or hematogenous metastases.<sup>17</sup> Contralateral mediastinal and ipsilateral supraclavicular lymphadenopathy are generally classified as limited-stage disease, while contralateral hilar and supraclavicular lymphadenopathy usually are classified as extensive-stage disease. Approximately two thirds of patients present with overt hematogenous metastases, which commonly involve the contralateral lung, liver, adrenal glands, brain, bones, and/or bone marrow. Table 2 provides the definitions for TNM that are used for SCLC. A new lung cancer staging system has been proposed by the International Association of the Study of Lung Cancer (IASLC).<sup>18-20</sup> The revised staging will be published by the American Joint Commission for Cancer (AJCC) (7<sup>th</sup> ed) in November 2009.

All SCLC patients, even those with radiographically limited-stage disease, require systemic chemotherapy. Therefore, staging provides a therapeutic guideline for chest radiotherapy, which is indicated for patients with limited-stage disease. Full staging includes a history and physical examination; computed tomography (CT) scan including the chest, liver, and adrenal glands; a magnetic resonance imaging (MRI) scan (preferred) or CT scan of the head; and a bone scan (optional if PET scan is obtained). A chest radiograph is optional. Unilateral or bilateral bone marrow aspirates and biopsies may be indicated in

patients with nucleated red blood cells on peripheral blood smear, neutropenia, or thrombocytopenia and no other evidence of metastatic disease. Bone marrow involvement as the only site of extensive-stage disease occurs in less than 5% of patients. A positron emission tomography (PET) scan is optional but can be used as part of the initial evaluation in addition to the other recommended studies. A PET scan can increase staging accuracy in patients with SCLC; however, it is not adequate for detecting brain metastases.<sup>21</sup>

If a pleural effusion is large enough to be seen by a chest radiograph, then thoracentesis is recommended. If thoracentesis does not show malignant cells, then thoracoscopy can be considered to document pleural involvement, which would indicate extensive-stage disease. A patient should be considered to have limited-stage disease if the effusion is too small to allow image-guided sampling or if: (1) 3 cytopathologic examinations of pleural fluid are negative for cancer; (2) the fluid is not bloody and not an exudate; and (3) clinical judgment suggests that the effusion is not directly related to the cancer.

Staging should not be directed only to sites of symptomatic disease or sites suggested by laboratory tests. Bone scans are positive in up to 30% of patients without bone pain or an abnormal alkaline phosphatase level. A head MRI or CT scan can identify central nervous system (CNS) metastases in 10% to 15% of patients at diagnosis, of which about 30% are asymptomatic. Early treatment of brain metastases results in less chronic neurologic morbidity, arguing for the utility of early diagnosis in asymptomatic patients. Due to the aggressive nature of SCLC, staging should not delay the onset of treatment more than 1 week; otherwise, many patients may become more seriously ill in the interval with a decline in their performance status (PS).

Poor PS (3-4), extensive-stage disease, weight loss, and markers associated with excessive bulk of disease, such as lactate dehydrogenase (LDH), are the most important adverse prognostic factors. In patients with limited-stage disease, good PS (0-2), female gender, age younger than 70 years, normal LDH, and stage I disease are associated with a more favorable prognosis. In patients with extensive-stage disease, normal LDH and a single metastatic site are favorable prognostic factors.<sup>22,23</sup>

### Chemotherapy

Chemotherapy is an essential component of appropriate treatment for all patients with SCLC.<sup>4</sup> For those who have undergone successful surgical resection, adjuvant chemotherapy is recommended. For most patients with limited-stage SCLC and good PS (0-2), recommended treatment consists of chemotherapy with concurrent thoracic radiotherapy (category 1).<sup>24,25</sup> For patients with extensive-stage disease, chemotherapy alone is the recommended treatment. In patients with extensive disease and brain metastases, chemotherapy can be given either before or after whole-brain RT depending on whether or not the patient has neurologic symptoms.

Single-agent and combination chemotherapy regimens have been shown to be active in SCLC.<sup>3,26,27</sup> The most commonly used initial combination chemotherapy regimen is etoposide and cisplatin (EP).<sup>4,28,29</sup> This combination supplanted alkylator/anthracycline-based regimens based on superiority in both efficacy and toxicity in the limited-stage setting.<sup>30</sup> Etoposide and cisplatin plus concurrent thoracic radiotherapy is now the recommended therapy for patients with limited-stage disease (category 1).<sup>24,25,31</sup> In combination with thoracic radiotherapy, EP causes an increased risk of esophagitis and pulmonary toxicity. The hematologic toxicity is manageable with dose

reductions or growth factor support (see the [NCCN Myeloid Growth Factors in Cancer Treatment Guidelines](#)). In clinical practice, carboplatin is frequently substituted for cisplatin in order to reduce the risk of emesis, neuropathy, and nephropathy. However, the use of carboplatin carries a greater risk of myelosuppression.<sup>32</sup> The substitution of carboplatin for cisplatin in patients with limited-stage disease has not been adequately evaluated and should only be done when cisplatin is contraindicated or poorly tolerated.<sup>33,34</sup> The substitution of carboplatin for cisplatin is more acceptable in patients with extensive-stage disease, because there is ample data regarding the therapeutic equivalence of the drugs in this setting.<sup>33,35</sup>

Many other combinations have been evaluated in patients with extensive-stage disease with little consistent evidence of benefit when compared with EP. Combinations of a platinum with irinotecan have raised significant interest. Initially, a small phase III trial performed in Japan reported that patients with extensive-stage SCLC who were treated with irinotecan plus cisplatin achieved a median survival of 12.8 months compared to 9.4 months for patients treated with EP ( $P=.002$ ).<sup>36</sup> In addition, 2-year survival was 19.5% in the irinotecan plus cisplatin group and 5.2% in the EP group.<sup>36</sup> However, 2 subsequent large phase III trials performed in the United States comparing irinotecan plus cisplatin to EP failed to demonstrate a significant difference in response rate or overall survival between the regimens.<sup>37,38</sup>

A randomized phase II trial ( $n = 70$ ) comparing carboplatin and irinotecan versus carboplatin and etoposide showed a modest improvement in progression-free survival with the irinotecan combination.<sup>39</sup> A recent phase III randomized trial ( $n = 220$ ) found that median overall survival was slightly improved with irinotecan and carboplatin compared with carboplatin and oral etoposide (8.5 versus

7.1 months,  $P=.04$ ).<sup>40</sup> Therefore, the carboplatin and irinotecan regimen has been added to the guidelines as an option for patients with extensive-stage disease.

In patients with limited-stage disease, response rates of 70% to 90% are expected after treatment with cisplatin and etoposide plus thoracic radiotherapy, while in extensive-stage disease, response rates of 60% to 70% can be achieved with combination chemotherapy alone.<sup>3</sup> Unfortunately, median survival rates are only 14 to 20 months and 9 to 11 months for patients with limited-stage and extensive-stage disease, respectively. After appropriate treatment, the 2-year survival rate is about 40% in patients with limited-stage disease, but less than 5% in those with extensive-stage disease.<sup>41</sup> Thoracic radiotherapy improves the local control rates by 25% in limited-stage disease patients and is associated with improved survival.<sup>24,25,42</sup> Data indicate that chemoradiotherapy may be indicated for patients with limited-stage disease who have cytologically negative or indeterminate pleural effusions, but not for those with pericardial effusions.<sup>42,43</sup>

Many strategies have been evaluated in an effort to improve on the results that have been achieved with standard treatment for extensive-stage SCLC, including the addition of a third agent to standard 2-drug regimens. In 2 trials, the addition of ifosfamide (or cyclophosphamide plus an anthracycline) to EP demonstrated a modest survival advantage for patients with extensive disease.<sup>44,45</sup> However, such findings have not been uniformly observed, and the addition of an alkylating agent with or without an anthracycline significantly increases hematologic toxicity when compared to EP alone.<sup>46</sup> Similarly, the addition of paclitaxel to either cisplatin or carboplatin plus etoposide yielded promising results in phase II trials but did not improve survival and was associated with unacceptable toxicity in a subsequent phase III study.<sup>47</sup> The use of maintenance or consolidation chemotherapy

beyond 4 to 6 cycles of standard treatment produces a minor prolongation of duration of response without improving survival and carries a greater risk of cumulative toxicity.<sup>48</sup>

The inability to destroy residual cells, despite the initial chemosensitivity of SCLC, suggests the existence of tumor stem cells that are relatively resistant to cytotoxic therapy. To overcome drug resistance, alternating or sequential combination therapies have been designed to expose the tumor to as many active cytotoxic agents as possible during initial treatment.<sup>49</sup> However, randomized trials have failed to show improved disease-free or overall survival with this approach.<sup>50,51</sup>

Multidrug cyclic weekly therapy was designed to increase dose-intensity. Although patient selection effects were of some concern, early phase II results were promising.<sup>52,53</sup> Nevertheless, no survival benefits were documented in randomized trials and excessive treatment-related mortality was noted with multidrug cyclic weekly regimens.<sup>54-57</sup>

The role of higher-dose therapy for patients with SCLC remains controversial.<sup>58</sup> Higher complete and partial response rates, and modestly longer median survival times, have been observed in patients receiving high doses when compared with those given conventional doses of the same agents.<sup>59</sup> In general, however, randomized trials comparing conventional doses to an incrementally increased dose-intensity up to 2 times the full conventional dose have not consistently shown an increased response rate or survival.<sup>60-63</sup> In addition, a meta-analysis of trials that compared standard versus dose-intense variations of the CAV and EP regimens found that increased relative dose-intensity resulted in only a small, clinically insignificant enhancement of median survival in patients with extensive-stage disease.<sup>64</sup>

Currently available cytokines (e.g., GM-CSF and G-CSF) can ameliorate chemotherapy-induced myelosuppression and reduce the incidence of febrile neutropenia, but cumulative thrombocytopenia remains dose-limiting. Although trials involving SCLC patients were instrumental in obtaining Food and Drug Administration (FDA) approval for the clinical use of cytokines,<sup>65</sup> there is little evidence to suggest that maintenance of dose intensity with growth factors prolongs disease-free or overall survival.

Maintenance therapy with bevacizumab (phase II trial) was associated with tracheoesophageal fistulae in patients with limited-stage SCLC who had received bevacizumab, irinotecan, carboplatin, and concurrent RT (<http://www.fda.gov/downloads/Safety/MedWatch/SafetyInformation/SafetyAlertsforHumanMedicalProducts/UCM153953.pdf>). Note that the NCCN panel does not recommend use of bevacizumab in patients with SCLC.

Overall, attempts to improve long-term survival rates in patients with SCLC through the addition of more agents or the use of dose-intense chemotherapy regimens, maintenance therapy, or alternating non-cross-resistant chemotherapy regimens have generally failed to yield significant advantages when compared to standard approaches.

### Elderly Patients

The incidence of lung cancer increases with age; 66% of patients with lung cancer are 65 years or older. However, elderly patients are under-represented in clinical trials.<sup>66</sup> Although advanced chronological age does adversely affect tolerance to treatment, an individual patient's functional status is much more useful than age in guiding clinical decision making (see the [NCCN Senior Adult Oncology Guidelines](#)). If an older person is functional in terms of the ability to perform activities of daily living, he/she should be treated with standard combination



chemotherapy (and radiotherapy, if indicated). However, myelosuppression, fatigue, and lower organ reserves are encountered more frequently in elderly patients.

Greater anticipation of the needs and support systems of elderly patients is recommended. However, elderly patients have similar prognoses when compared with younger patients. Randomized trials have indicated that less intensive treatment (e.g., single-agent etoposide) is inferior to combination chemotherapy (e.g., platinum plus etoposide) in elderly patients with good PS (0-2).<sup>67,68</sup> Several other strategies have been evaluated in elderly patients with SCLC.<sup>34,35,69,70</sup> The use of 4 cycles of carboplatin plus etoposide appears to yield favorable results, because the AUC (area-under-the-curve) dosing of carboplatin takes into account the declining renal function of the aging patient.<sup>34</sup> However, some patients may not tolerate a dose of carboplatin with an AUC as high as 6.<sup>71</sup> The utility of short-course, full-intensity chemotherapy has also been explored in elderly or infirm patients, and the results with only 2 cycles of chemotherapy appear to be quite acceptable.<sup>72</sup> However, none of these newer approaches have been directly compared with standard therapy.

### Salvage Therapy

Most patients with SCLC will relapse or progress after initial treatment; these patients have a median survival of only 4 to 5 months when treated with further chemotherapy. Second-line (i.e., subsequent) chemotherapy provides significant palliation in many patients, although the likelihood of response is highly dependent on the time from initial therapy to relapse. If this interval is less than 3 months, response to most agents or regimens is poor (10% or less), indicating refractory SCLC. If greater than 3 months has elapsed, expected response rates are approximately 25%.

In phase II trials, active subsequent agents include docetaxel, oral etoposide, gemcitabine, ifosfamide, irinotecan, paclitaxel, topotecan, and vinorelbine.<sup>29,73-75</sup> In a randomized phase III trial, single-agent topotecan was compared to the combination regimen CAV (cyclophosphamide, doxorubicin [Adriamycin], and vincristine).<sup>76</sup> Both arms had similar response rates and survival, but topotecan caused less toxicity and is now recommended as the subsequent agent for patients with relapsed SCLC (category 1 for relapse > 2-3 months up to 6 months).<sup>77</sup> Single-agent topotecan is approved by the U.S. FDA as subsequent therapy for patients with SCLC who initially respond to chemotherapy but then progress after 2-3 months.

Recent data from phase II studies suggest that amrubicin, an investigational anthracycline, has promising activity in patients with extensive-stage SCLC that is refractory to or progressing after first-line platinum-based chemotherapy.<sup>78-80</sup> However, grade 3-4 neutropenia is common.<sup>81</sup> Phase II studies have shown that picoplatin may be useful as second-line therapy in patients with refractory extensive-stage disease.<sup>82</sup> Subsequent chemotherapy should be given until patients achieve maximal benefit, become refractory to therapy, or develop unacceptable toxicity. For patients with localized symptomatic sites of disease (such as painful bony lesions, obstructive atelectasis, or brain metastases), radiotherapy can provide excellent palliation. For spinal cord compression, RT can be given to symptomatic sites before chemotherapy unless immediate systemic chemotherapy is required. Chemotherapy with or without radiotherapy is useful for patients with superior vena cava syndrome.<sup>83,84</sup>

## Radiotherapy

### Thoracic Radiotherapy

The addition of thoracic radiotherapy has improved survival for patients with limited-stage disease.<sup>2</sup> Meta-analyses that included more than 2000 patients show that thoracic radiation for limited-stage disease causes a 25% to 30% reduction in local failure and a corresponding 5% to 7% improvement in 2-year survival.<sup>24,25</sup> However, achieving long-term local control using conventional chemoradiotherapy for patients with limited-stage SCLC remains a challenge.

The administration of thoracic radiotherapy requires the assessment of several factors, including the timing of chemotherapy and radiotherapy (concurrent versus sequential versus alternating therapy), timing of radiotherapy (early versus late), volume of the radiation port (original tumor volume versus shrinking field as the tumor responds), dose of radiation, and fractionation of radiotherapy. Based on randomized trials, early, concurrent radiotherapy is recommended along with chemotherapy for patients with limited-stage SCLC. A randomized trial by the Japanese Cooperative Oncology Group assessed sequential versus concurrent thoracic radiotherapy combined with EP for patients with limited-stage disease; they reported that patients treated with concurrent radiotherapy lived longer than those treated with sequential radiotherapy.<sup>85</sup> Another randomized phase III trial by the National Cancer Institute of Canada compared radiotherapy beginning with either cycle 2 or cycle 6 of chemotherapy; they demonstrated that early radiotherapy was associated with improved local and systemic control and with longer survival.<sup>86</sup> A systematic review on the timing of thoracic radiotherapy in limited-stage SCLC determined that early concurrent radiotherapy results in a small, but significant, improvement in overall survival when compared to late concurrent or sequential radiotherapy.<sup>87</sup>

Based on a phase II study by Turrisi et al,<sup>88</sup> the Eastern Cooperative Oncology Group/Radiation Therapy Oncology Group (ECOG/RTOG) compared once a day to twice a day radiotherapy with EP. In this trial, 412 patients with limited-stage SCLC were treated with concurrent chemoradiotherapy using a total dose of 45 Gy delivered either twice a day over 3 weeks or once a day over 5 weeks. The twice-daily schedule produced a survival advantage and a higher incidence of grade 3-4 esophagitis. Median survival was 23 versus 19 months ( $P=.04$ ), and 5-year survival was 26% versus 16% in the twice-daily and once-daily radiotherapy arms, respectively.<sup>89</sup>

A caveat to these encouraging long-term survival results is that twice-daily fractionation is technically challenging for patients with bilateral mediastinal adenopathy. In addition, the once-a-day therapy was not delivered at its maximum tolerated dose, so it remains unclear if hyperfractionation is superior to once daily chest radiotherapy given to a biologically equivalent dose. Another randomized phase III trial demonstrated no survival difference between once-a-day thoracic radiotherapy to 50.4 Gy with concurrent EP and a split-course of twice-a-day thoracic radiotherapy to 48 Gy with concurrent EP.<sup>90</sup> However, split-course radiotherapy may be less efficacious because of interval tumor regrowth between courses. Overall, patients selected for combined modality treatment that incorporates twice-a-day radiotherapy must have an excellent PS and good baseline pulmonary function.

For limited-stage disease, the NCCN guidelines recommend that radiation should be delivered concurrently with chemotherapy and should start with the first or second cycle (category 1) at a dose of either 1.5 Gy twice daily to a total dose of 45 Gy, or 1.8 to 2.0 Gy/day to 60 to 70 Gy.<sup>24,87,90-92</sup> Concurrent chemoradiotherapy (category 1) is preferable to sequential therapy in patients with good PS (0-2); 3-

dimensional (3D) conformal radiation techniques are preferred, if available. The radiation target volumes should be defined on the CT scan obtained at the time of radiotherapy planning. However, the pre-chemotherapy CT scan should be reviewed to include the originally involved lymph node regions in the treatment fields.<sup>93,94</sup>

Selected patients with low-bulk metastatic disease who have a complete or near complete response after systemic therapy may be considered for sequential thoracic radiotherapy based on a randomized trial that noted improved survival with this approach.<sup>95</sup>

### Prophylactic Cranial Irradiation

Intracranial metastases occur in more than 50% of patients with SCLC. Randomized studies have shown that prophylactic cranial irradiation (PCI) is effective in decreasing the incidence of cerebral metastases, but most individual studies did not have sufficient power to demonstrate a meaningful survival advantage.<sup>96</sup> Moreover, late neurologic sequelae have been attributed to radiotherapy, particularly in studies using fractions greater than 3 Gy and/or administering PCI concurrent with chemotherapy. When given after the completion of chemotherapy and at low doses per fractions, PCI may cause less neurological toxicity. Symptomatic brain relapses result in major morbidity, which frequently does not completely resolve with therapeutic cranial irradiation.

A meta-analysis of all randomized PCI trials reported a 25% decrease in the 3-year incidence of brain metastases from 58.6% in the control group to 33.3% in the PCI treated group.<sup>97</sup> Thus, it appears that PCI prevents and does not simply delay the emergence of brain metastases. This meta-analysis also reported a 5.4% increase in 3-year survival in patients treated with PCI from 15.3% in the control group to 20.7% in the PCI group.<sup>97</sup> Although the number of patients in this meta-analysis with extensive-stage disease was small, the observed benefit

was similar in both limited- and extensive-stage patients. A recent retrospective study of patients with limited-stage disease also found that PCI increased survival at 2, 5, and 10 years when compared to those who did not receive PCI.<sup>98</sup>

A recent randomized trial assessed PCI versus no PCI in 286 patients with extensive-stage SCLC who had responded to initial chemotherapy. PCI decreased symptomatic brain metastases (14.6% versus 40.4%) and increased the 1-year survival rate (27.1% versus 13.3%) when compared with controls.<sup>99</sup>

A balanced discussion between the patient and physician is necessary before making a decision to administer PCI. PCI is recommended (category 1) for patients with either limited- or extensive-stage disease who attain a complete or partial response. However, PCI is not recommended for patients with poor PS (3-4) or impaired mental function. The recommended dose for PCI is 25 Gy in 10 fractions or 30 Gy in 10-15 fractions.<sup>99,100</sup> PCI should not be given concurrently with systemic chemotherapy because of the increased risk of neurotoxicity. Fatigue, headache, and nausea or vomiting are the most common acute toxic effects after PCI.<sup>100,101</sup>

### Surgical Resection of Early-Stage SCLC

Early-stage SCLC is diagnosed in less than 5% of patients with SCLC.<sup>102</sup> Patients with clinically staged disease in excess of T1-2, N0 do not benefit from surgery. The Lung Cancer Study Group conducted the only prospective randomized trial evaluating the role of surgery in SCLC.<sup>103</sup> Patients with limited-stage disease, excluding those with stage I disease, received 5 cycles of chemotherapy with CAV. Patients demonstrating a response to chemotherapy were randomly assigned either to resection plus thoracic radiotherapy or to thoracic radiotherapy

alone. The survival of patients on the 2 arms was equivalent, suggesting no benefit to surgery in this setting.

Patients with SCLC that has been determined to be clinical stage I (T1-2, N0) after a standard staging evaluation (including CT of the chest and upper abdomen, bone scan, brain imaging, and probably PET imaging) may undergo surgical resection.<sup>104</sup> Before resection, all patients should undergo mediastinoscopy or other surgical or endoscopic mediastinal staging to rule out occult nodal disease.<sup>105</sup> If an endoscopic lymph node biopsy is positive, additional mediastinal staging is not required. Patients who undergo complete resection (preferably by a lobectomy with either mediastinal nodal dissection or sampling) should be treated with postoperative chemotherapy.<sup>106,107</sup> Patients without nodal metastases can be treated with chemotherapy alone, but concurrent chemotherapy and postoperative mediastinal RT are recommended for patients with nodal metastases. Because PCI can improve both disease-free and overall survival in patients with SCLC in complete remission, it is reasonable to administer PCI after adjuvant therapy in patients who have undergone a complete resection.<sup>97</sup>

### Management of Patients Not Participating in Clinical Trials

Clinical trials generally represent state-of-the-art treatment for patients with SCLC. Despite recent advances, the standard therapy for SCLC based on prior clinical trials and outlined by the practice guidelines does not yet result in very good outcomes. Thus, participation in clinical trials should be strongly encouraged.

Patients with limited-stage disease who are not enrolled in a clinical trial should be treated with concurrent chemotherapy (cisplatin plus etoposide for 4 cycles) plus early thoracic radiotherapy (category 1).<sup>85</sup>

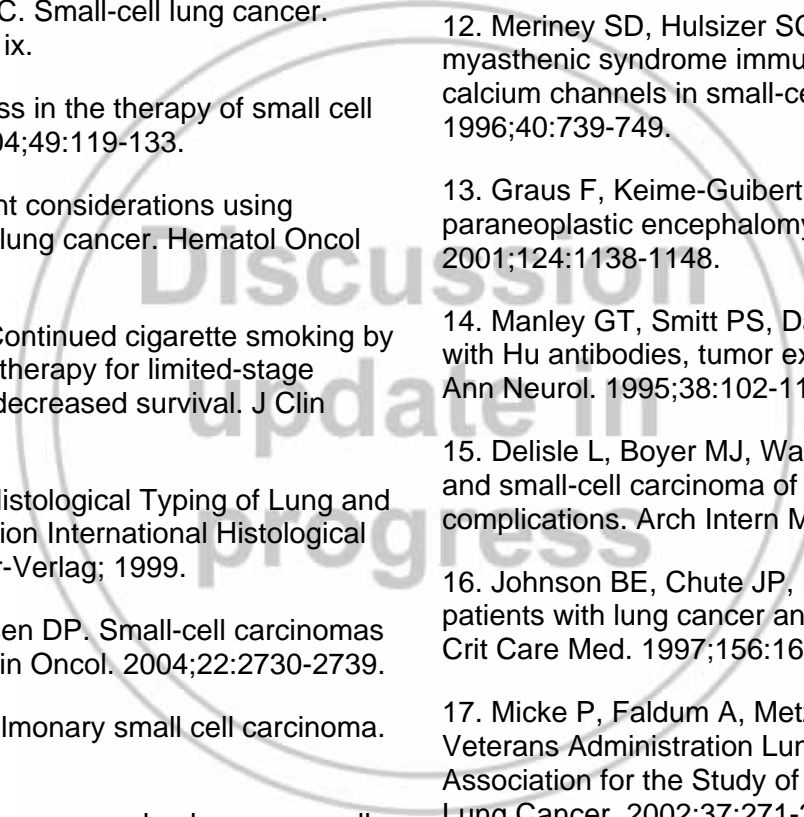
Chest radiotherapy should begin during cycle 1 or 2 and should consist of either 45 Gy as 1.5 Gy twice daily (category 1) or 1.8 to 2.0 Gy once daily to 60 to 70 Gy.<sup>85,86</sup> PCI is recommended (category 1) for patients who achieve a complete or partial response. Follow-up examinations are recommended every 2 to 3 months during the first year with concomitant chest imaging. If a new pulmonary nodule appears after 2 years, it should be evaluated as a new primary tumor, because second primary tumors are a frequent occurrence in patients who are cured of SCLC.<sup>108</sup>

For patients with extensive-stage disease, standard combination platinum-based chemotherapy is recommended, with subsequent PCI in responding patients. More effective approaches are desperately needed.

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